3146 U.S. F

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 3829.04

Total Pages in this Submission 71

TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

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Т	HER.	APEU	TICA	ALLY	Y ACTIVE CO	ОМР	OUNDS			u.s. PTO
and ir	vente	ed by:								
		ITER,		L.		-				22141 U.S
If a C	ONT	INUA	TION	AP	PLICATION,	chec	k appropr	riate box and supply	the requisite information:	
	Conti	inuati	ion		Divisional		Continu	ation-in-part (CIP)	of prior application No.:	60/441,892
Whic	Conti	inuati	ion		Divisional		Continu	ation-in-part (CIP)	of prior application No.:	
	Conti	inuati	ion		Divisional		Continu	ation-in-part (CIP)	of prior application No.:	
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Lilon		ui C.					Appl	ication Elements		
1.	×	Filin	g fee	as c	alculated and	d trar	nsmitted a	s described below		
2.	×	Spe	cificat	tion l	naving		58	pages and i	ncluding the following:	
	a.	\boxtimes	Desc	cripti	ve Title of the	e Inve	ention			
	b.	\boxtimes	Cros	s Re	eferences to F	Relat	ed Applica	ations <i>(if applicable)</i>		
	c. Statement Regarding Federally-sponsored Research/Development (if applicable)									
	d.								Program Listing Appendix	
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3.	\boxtimes	Dra	wing(s) (when	necessary as prescribed b	y 35 USC 113)
	a.	\boxtimes	Formal	Number of Sheets	2
	b.		Informal	Number of Sheets	
4.	\boxtimes	Oat	h or Declaration	n	
	a.	\boxtimes	Newly execute	ed (original or copy)	☐ Unexecuted
	b.		Copy from a p	orior application (37 CFR 1	.63(d)) (for continuation/divisional application only)
	C.	\boxtimes	With Power o	f Attorney Without	t Power of Attorney
	d.		Signed staten	F INVENTOR(S) nent attached deleting inve 1.63(d)(2) and 1.33(b).	entor(s) named in the prior application,
5.	X	The Box	entire disclosu 4b, is consid	eference (usable if Box 4b are of the prior application, ered as being part of the ference therein.	o is checked) from which a copy of the oath or declaration is supplied under e disclosure of the accompanying application and is hereby
6.		CD	ROM or CD-R	in duplicate, large table or	Computer Program (Appendix)
7. 8.		• •		heet (See 37 CFR 1.76) Amino Acid Sequence Sub	omission (if applicable, all must be included)
	a.		Computer Re	adable Form (CFR)	
	b.		Specification	Sequence Listing on:	
			i. 🗆 CD-	ROM or CD-R (2 copies);	or
			ii. 🗌 Pap	er	
	C.		Statement(s)	Verifying Identical Paper a	nd Computer Readable Copy
				Accompanying	g Application Parts
9.		Ass	ignment Paper	s (cover sheet & documen	t(s))
10.		37 (CFR 3.73(B) St	atement (when there is an	assignee)
11.		Eng	lish Translatior	Document (if applicable)	
12.		Info	rmation Disclos	sure Statement/PTO-1449	☐ Copies of IDS Citations
13.		Pre	iminary Amend	lment	
14.	\boxtimes	Ret	urn Receipt Po	stcard (MPEP 503) (Should	d be specifically itemized)
15.		Cer	lified Copy of P	riority Document(s) (if fore	ign priority is claimed)
16.	\boxtimes	Cer	tificate of Mailir	ng	
			First Class	☑ Express Mail (Specify	/ Label No.): EV 397172640 US

Application Elements (Continued)

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

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Total Pages in this Submission 71

17.	×	Applicant claims small entity status. See 37 CFR 1.27.
		☐ (Optional) Small Entity Statement(s) - Specify Number of Statements Submitted:
18.		Additional Enclosures (please identify below):
		Degree That Application No. 1 D. D. 1 V. 1 D. D. 1 D. D. 1 V. 1 D. D. 1 D. 1
		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)
19.		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.
		Warning
		An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

Accompanying Application Parts (Continued)

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Total Pages in this Submission 71

CLAIMS AS FILED For #Filed #Allowed #Extra Rate Fee **Total Claims** 33 - 20 = \$117.00 13 \$9.00 Indep. Claims 3 - 3 = 0 \$43.00 \$0.00 Multiple Dependent Claims (check if applicable) \$0.00 **BASIC FEE** \$385.00 OTHER FEE (specify purpose) \$0.00 TOTAL FILING FEE \$502.00 A check in the amount of \$502.00 to cover the filing fee is enclosed. ☑ The Director is hereby authorized to charge and credit Deposit Account No. 16-1331 as described below. ☐ Charge the amount of as filing fee. ☑ Credit any overpayment. □ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17. ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b). Dated: JANUARY 21, 2004

CC:

HANA VERNY (REG. NO. 30,518)

385 SHERMAN AVENUE, SUITE 6

PALO ALTO, CA 94306 TELEPHONE: (650)324-1677 FACSIMILE: (650)324-1678

PETERS, VERNY, JONES & SCHMITT L.L.P.

	MAILING BY "EXPRESS MA ER, ET AL.	AIL" (37 CFR 1.10)	Docket No. 3829.04
Serial No. N/A	Filing Date JANUARY 22, 2004	Examiner N/A	Group Art Unit N/A
vention: THERAPEU	TICALLY ACTIVE COMPOUNDS		
I hereby certify that th	e following correspondence:	,	
NEW UTILITY PAT	ENT APPLICATION		· · · · · · · · · · · · · · · · · · ·
<u> </u>	(Identify type of co	orrespondence)	
is being deposited wit	h the United States Postal Service "	Express Mail Post Office to A	ddressee" service under (
CFR 1.10 in an envelo	ope addressed to: Commissioner for	Patents, P.O. Box 1450, Ale	xandria, VA 22313-1450 (
	JANUARY 2,1, 2004 (Date)		
•		HANA VER	NY
		(Typed or Printed Name of Person Ma	
		(Signature of Person Mailing C	(p)respondence)
		EV 397172640	US

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UTILITY PATENT APPLICATION TRANSMITTAL CHECK IN THE AMOUNT OF \$502 UTILITY PATENT APPLICATION HAVING 58 PAGES 2 SHEETS FORMAL DRAWINGS DECLARATION AND POWER OF ATTORNEY (SIGNED)